

Neural Architects Workshop

28th October, ICCV 2019

# Capsule Architectures

Sara Sabour

Google Brain, University of Toronto

## Joint work with

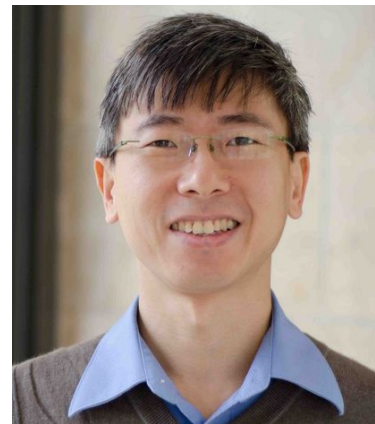
- Geoff Hinton
- Nicholas Frosst
- Adam Kosiorek
- Yee Whye Teh

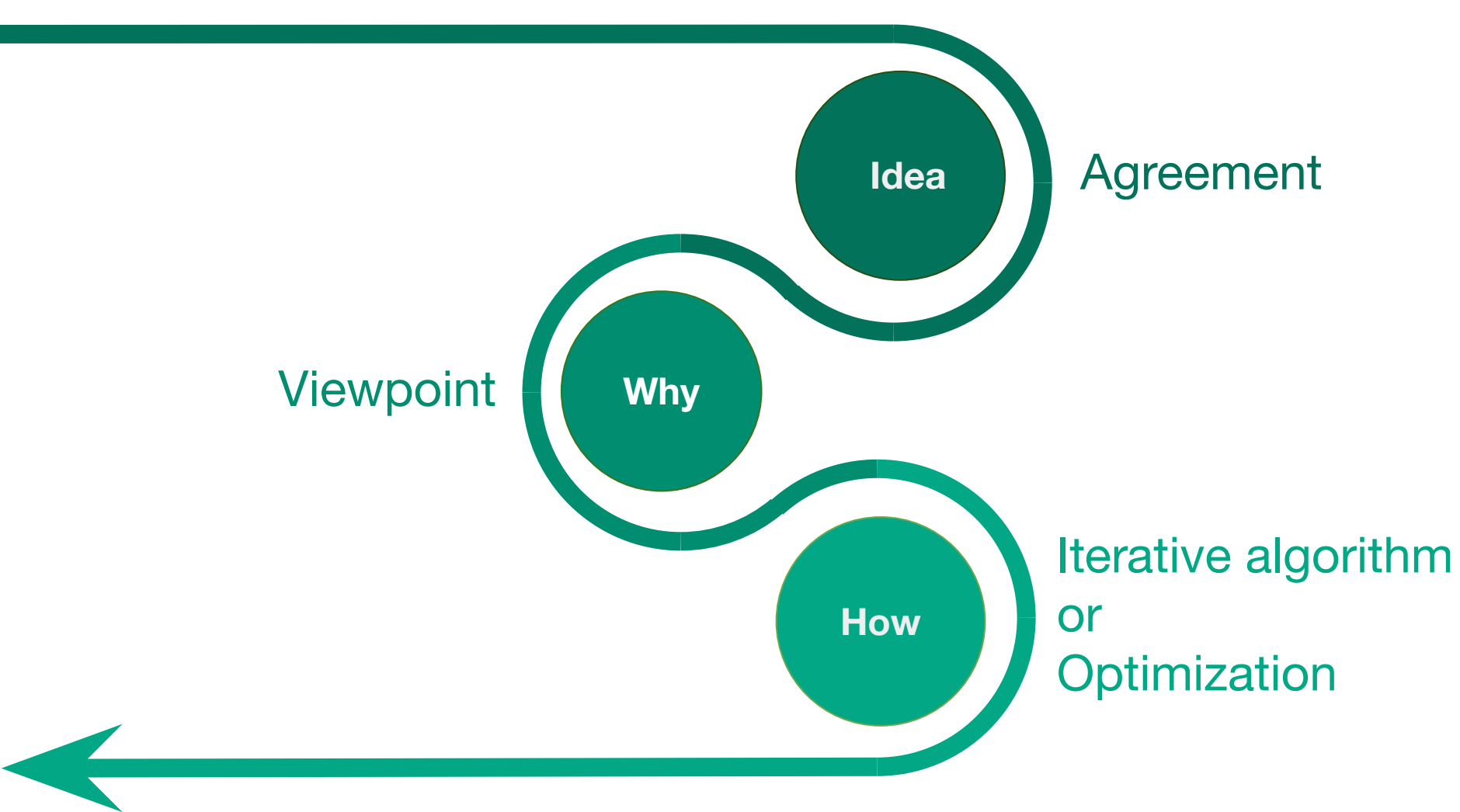
@Google brain

@Google brain

@Oxford University

@Oxford & Deepmind



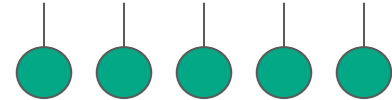


# Idea 101: Agreement and Capsules

---

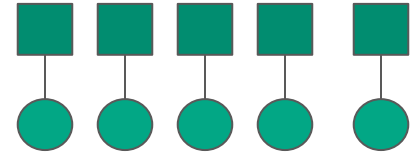
# Close look at a typical non-linearity

1. Each neuron is multiplied by a trainable parameter.



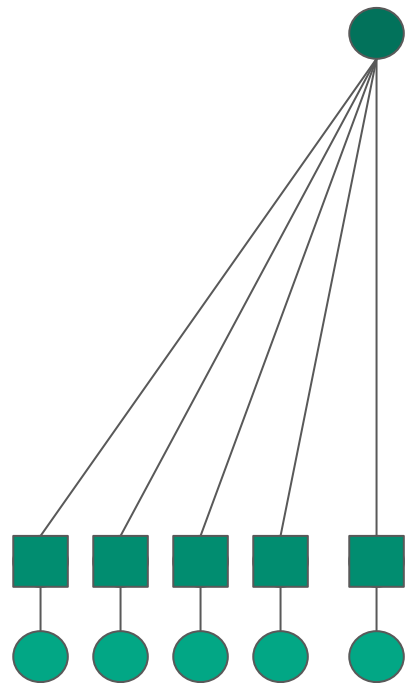
# Close look at a typical non-linearity

1. Each neuron is multiplied by a trainable parameter.



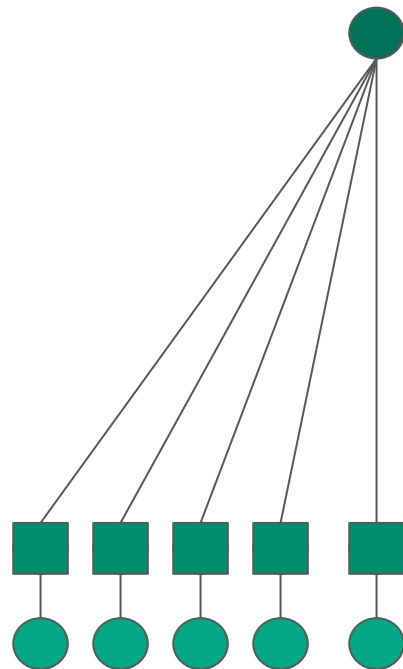
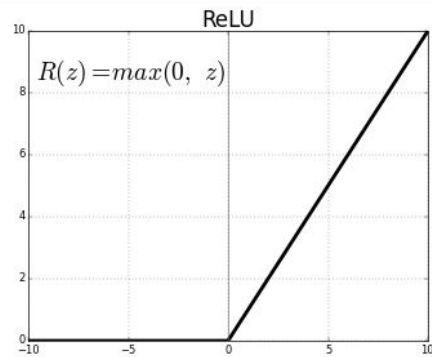
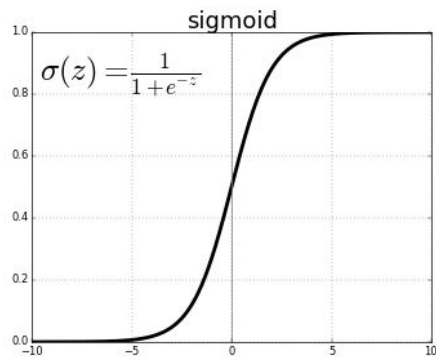
# Close look at a typical non-linearity

1. Each neuron is multiplied by a trainable parameter.
2. The incoming votes are summed.



# Close look at a typical non-linearity

1. Each neuron is multiplied by a trainable parameter.
2. The incoming votes are summed.
3. A nonlinearity (ReLU) is applied where a higher sum means more activated.

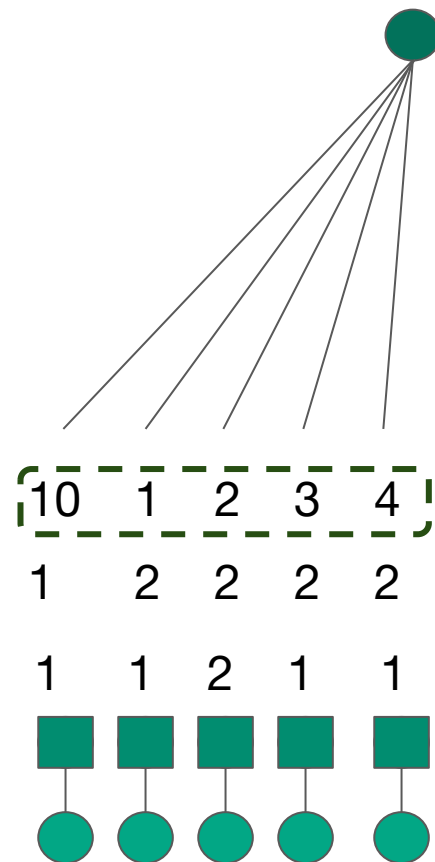




# Close look at a typical non-linearity

1. Each neuron is multiplied by a trainable parameter.
2. The incoming votes are summed.
3. A nonlinearity (ReLU) is applied where a higher sum means more activated.

**Consider these three cases:**



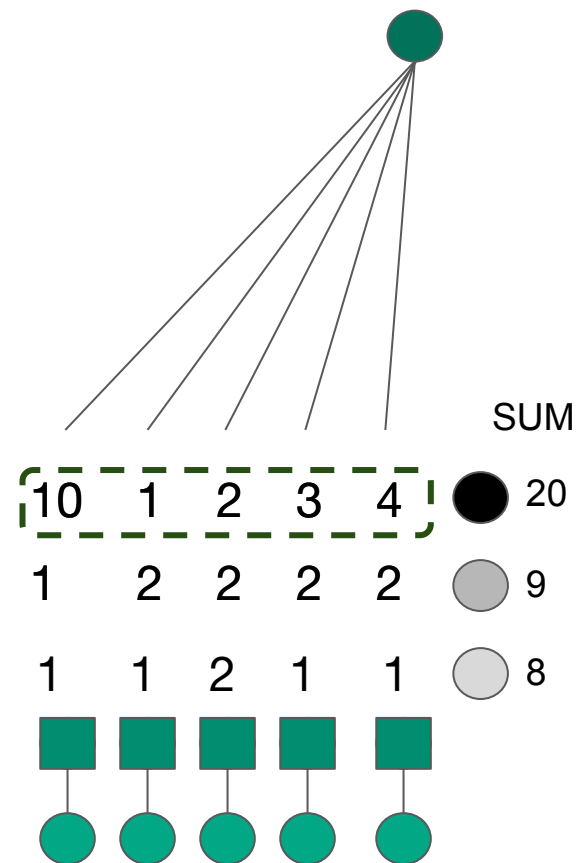
# Close look at a typical non-linearity

1. Each neuron is multiplied by a trainable parameter.
2. The incoming votes are summed.
3. A nonlinearity (ReLU) is applied where a higher sum means more activated.

**Consider these three cases:**

Dictatorship

Support comes from a confident shouter!

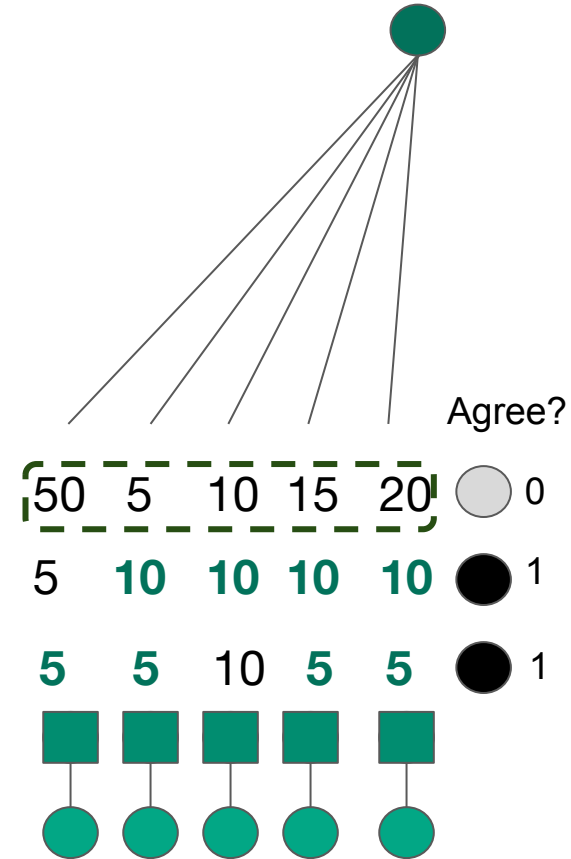


# Agreement Invariance

1. Each neuron is multiplied by a trainable parameter.
2. Do they agree with each other.

Democracy  
Support comes from coordinated mass!

SUM + ReLU -----> Count

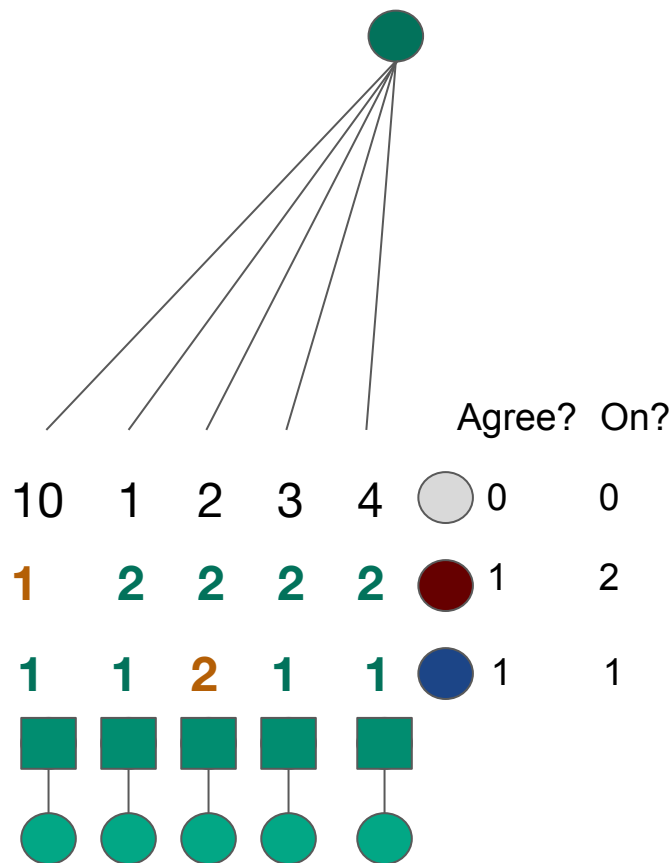


# Agreement, enhanced Invariance Equivariance

1. Each neuron is multiplied by a trainable parameter.
2. Do they agree with each other.
3. What are they agreeing upon.

No loss of information!

If 5 is multiplied to everything, what they are agreeing upon will be multiplied by 5.



# Agreement, what we get?

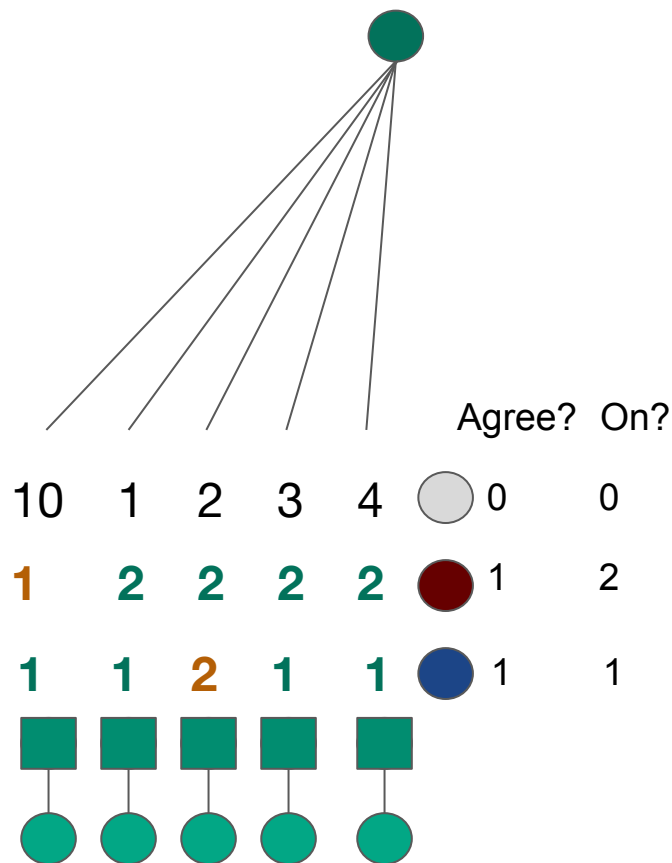
## Invariance

## Equivariance

1. Each neuron is multiplied by a trainable parameter.
2. Do they agree with each other.
3. What are they agreeing upon.

Training with this non-linearity

- Counting: Non-differentiable
- Similarity function: differentiable



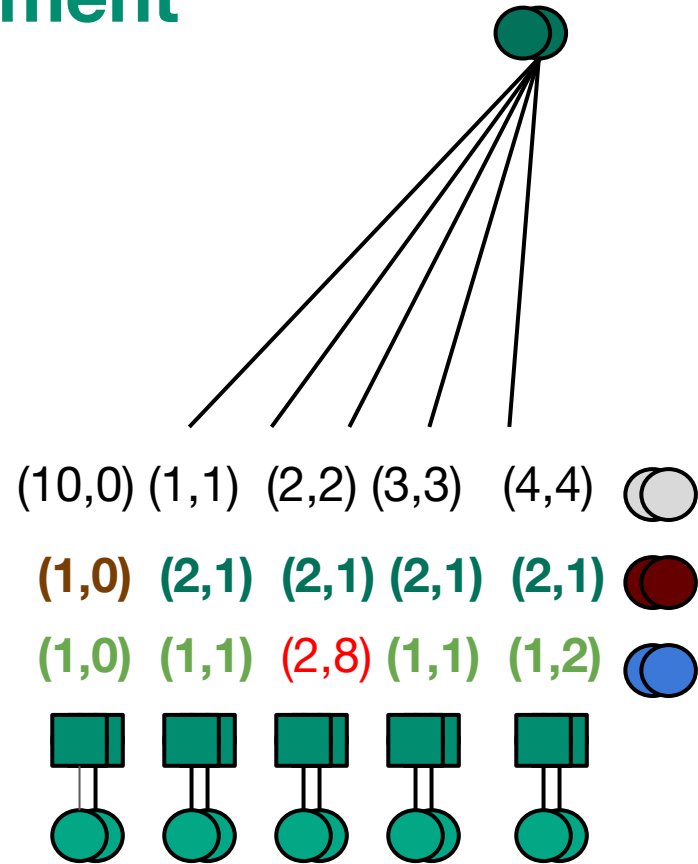
# Multi Dimension Enhanced Agreement

## Stronger Invariance

## Stronger Equivariance

1. Each neuron is multiplied by a trainable parameter.
2. Do they agree with each other.
3. What are they agreeing upon.

Stronger and more robust agreement finding.

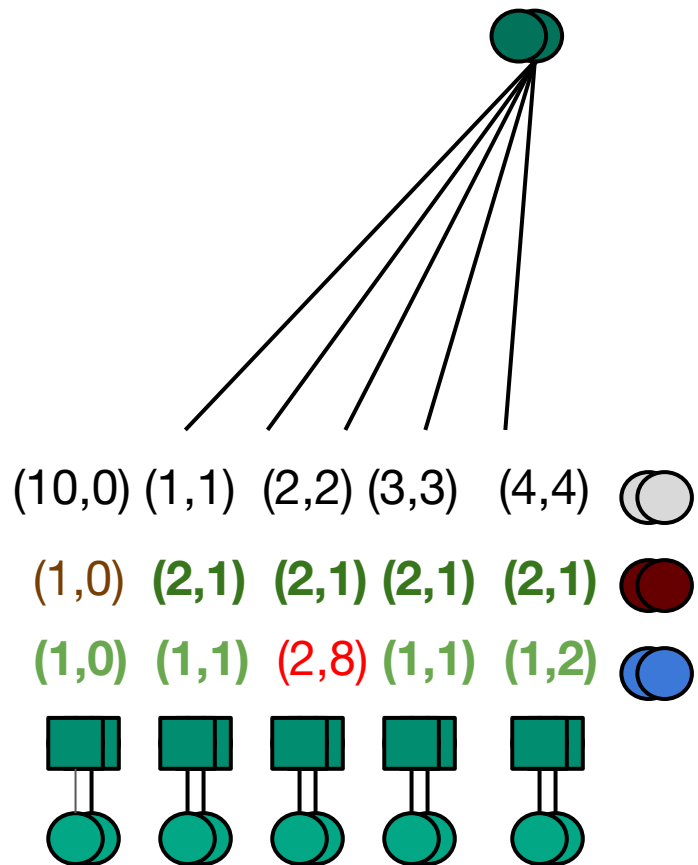


# Recap

- Base idea
  - Agreement non-linearity
  - How many are the same
  - rather than who is larger
- Enhancements
  - Presence + Value
  - Multi-Dimensional Value

New neurons:

Capsules

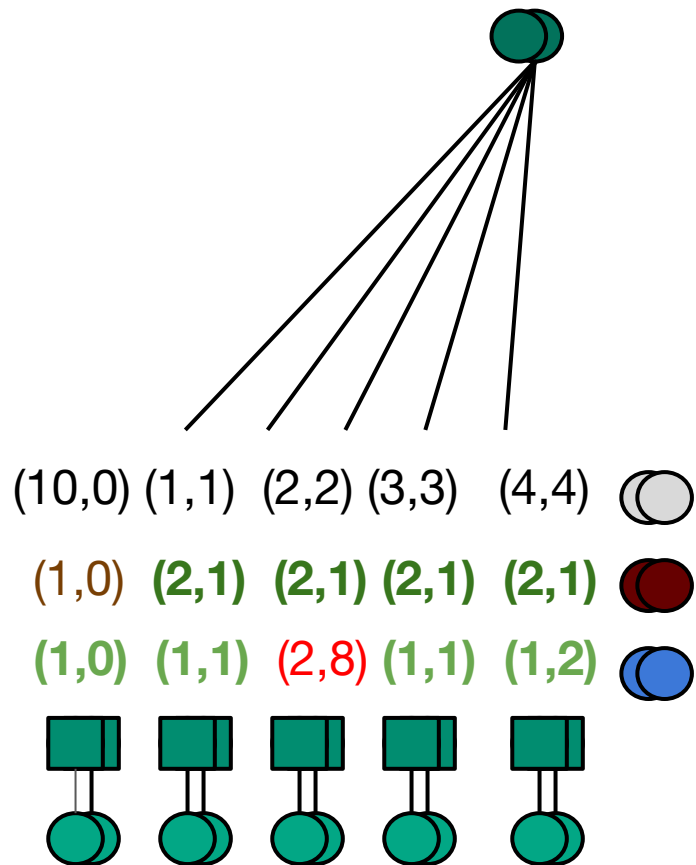


# Recap: Capsules

- Base idea
  - Agreement non-linearity
  - How many are the same rather than who is larger
- Enhancements
  - Presence + Value
  - Multi-Dimensional Value

## A network of Capsules

- Each capsule has whether it is present and how it is present.
- Each capsule gets activated if incoming votes agree.

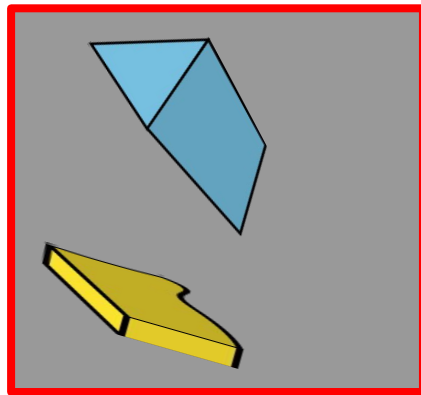
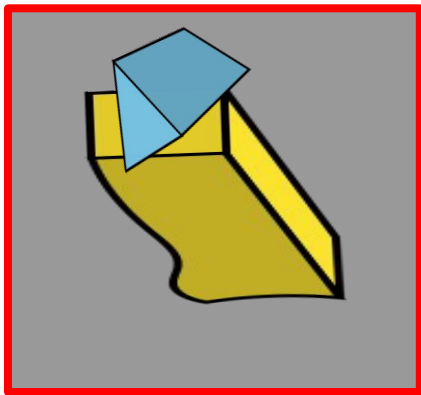
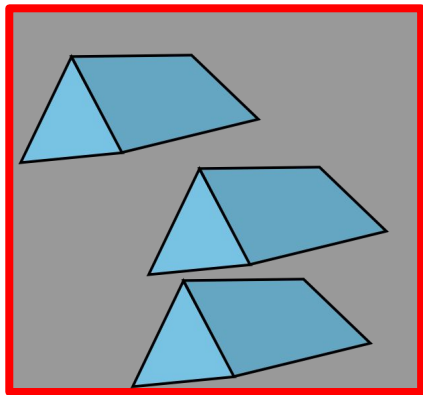
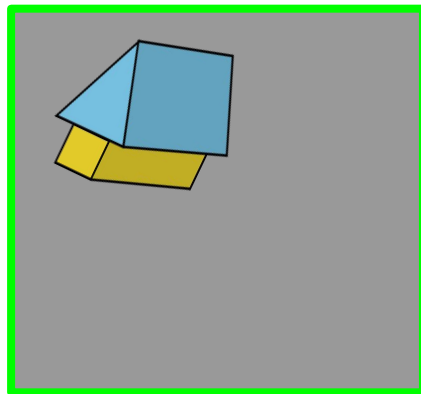
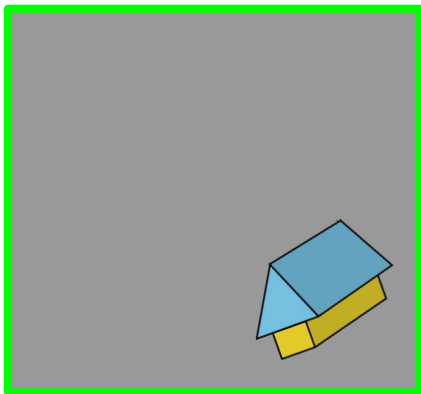
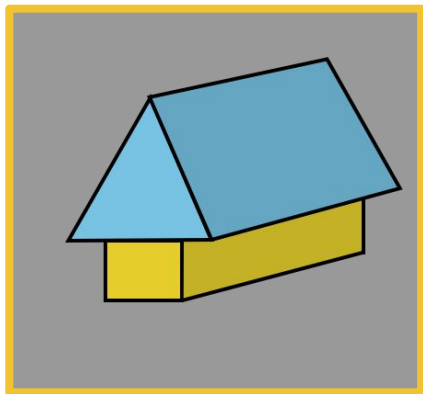




# Use Case: Computer Vision

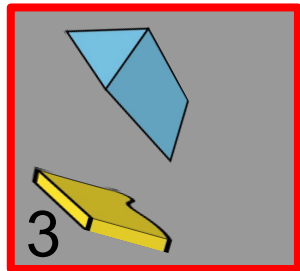
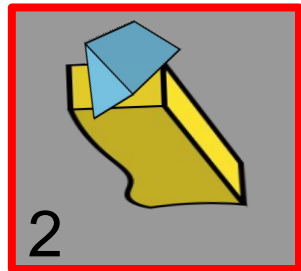
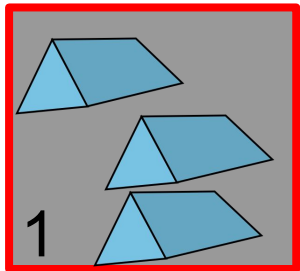
---

# Which one is a house?



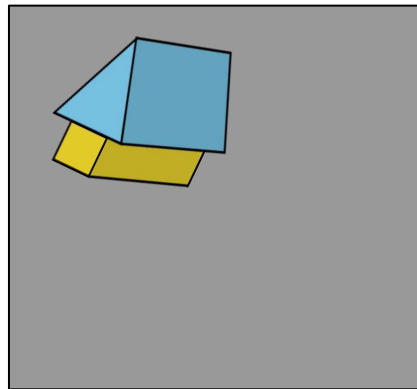
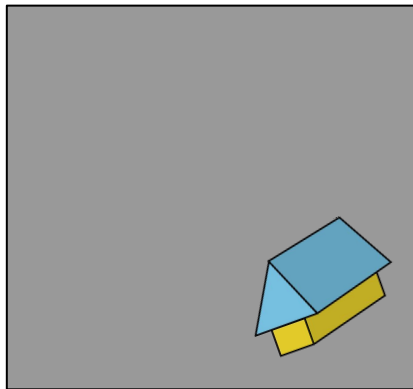
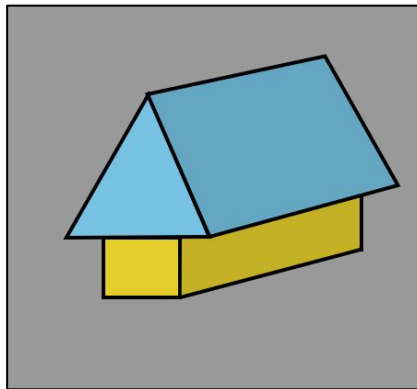
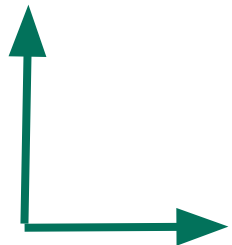
# Which one is a house?

1. Both the parts should exist.
  - Image 1 is not a house.
2. How the roof and the walls exist should match a common house.
  - Image 2 & 3 are not houses.



# What stays constant?

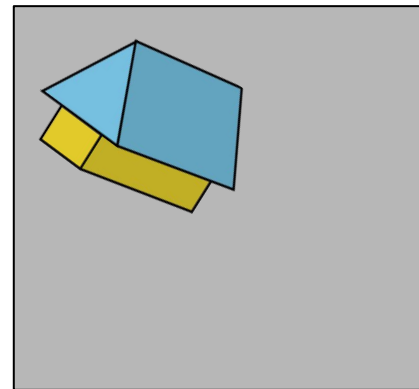
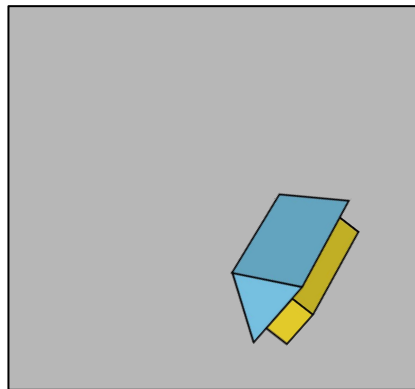
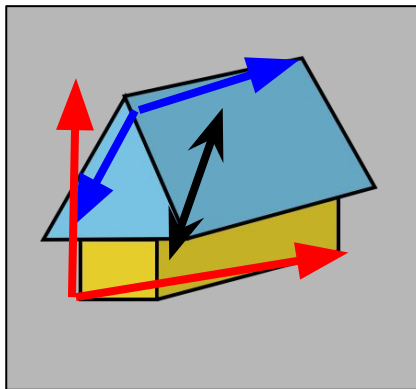
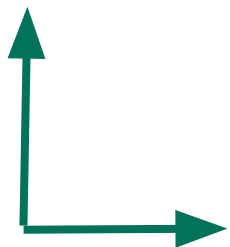
The relation between a part and the whole stays constant.



Camera  
Coordinate  
Frame

# What stays constant?

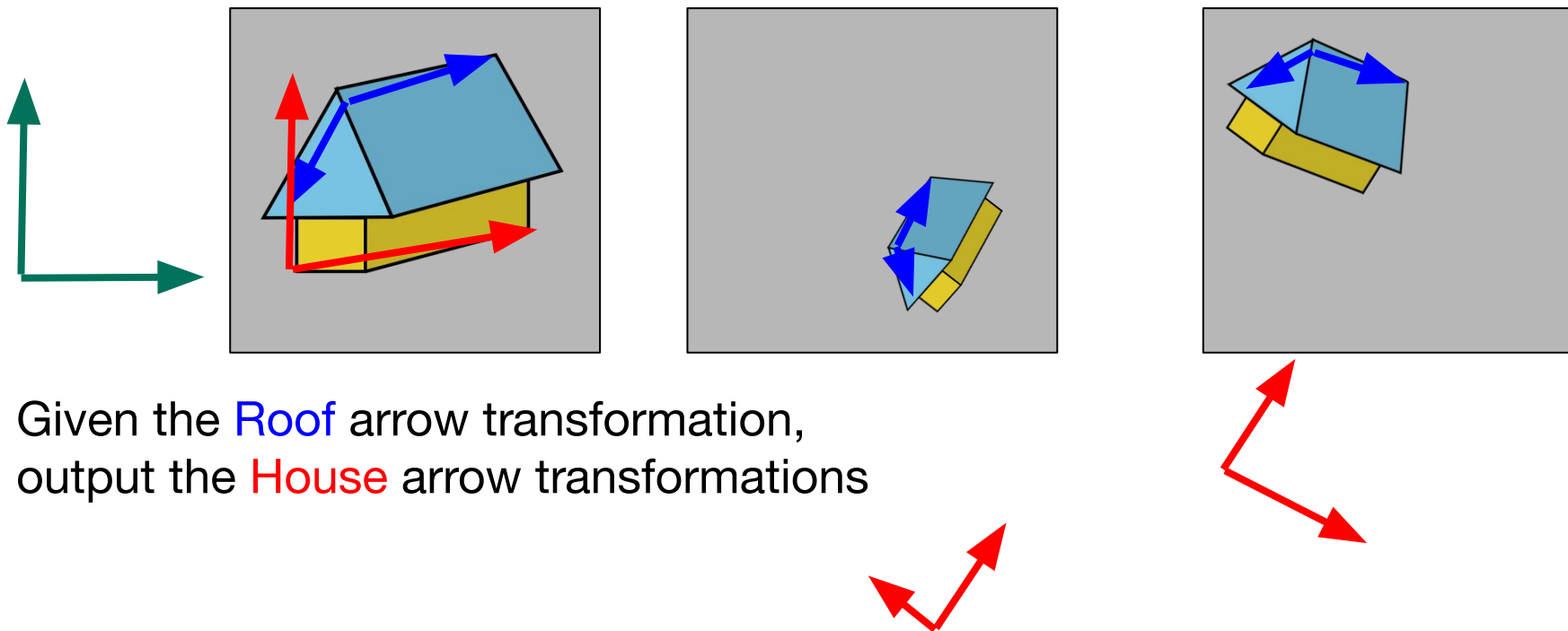
The relation between a part and the whole stays constant:  
Between the **Roof** arrows and the **House** arrows.



Camera  
coordinate  
Frame

# What stays constant?

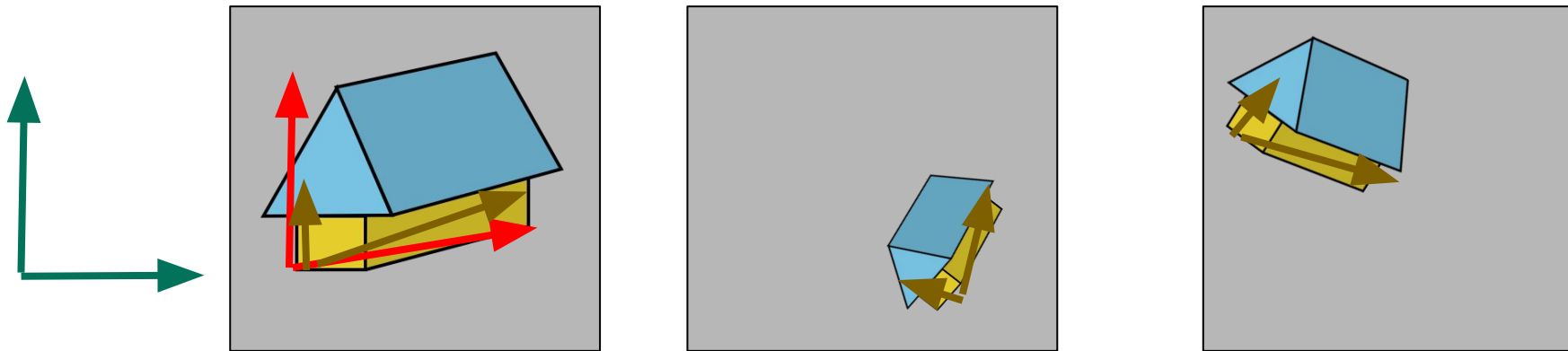
The relation between a part and the whole stays constant:  
Between the **Roof** arrows and the **House** arrows.



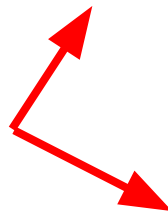
Given the **Roof** arrow transformation,  
output the **House** arrow transformations

# What stays constant?

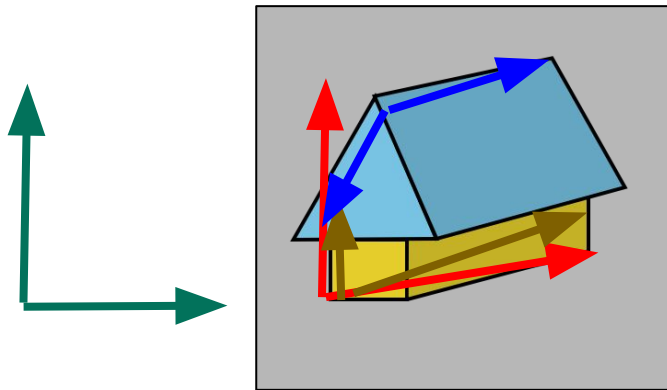
The relation between a part and the whole stays constant:  
Between the **Wall** arrows and the **House** arrows.



Given the **Wall** arrow T,  
output the **House** arrow T



# Recap



Input to the layer:

How to **transform** the **Camera** arrows  
Into **Roof** and **Wall** arrows.

$$T_r \quad T_w$$

Output of the layer:

How to **transform** the **Camera** arrows  
Into **House** arrows.

$$T_h$$

What we learn:

How to transform the transformations.

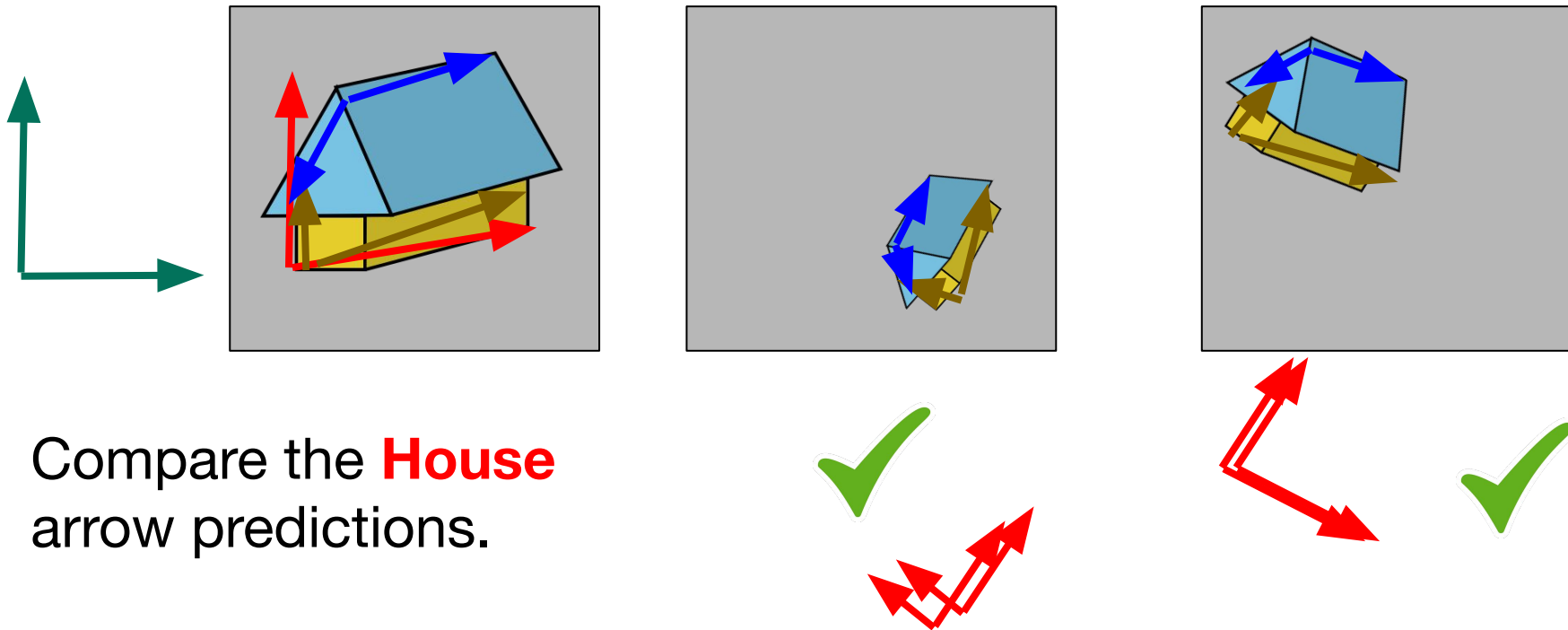
$$T_h = T_r W_{rh}$$

$$T_h = T_w W_{wh}$$



# What stays constant?

The relation between a part and the whole stays constant:  
Between the **part** arrows and the **House** arrows.

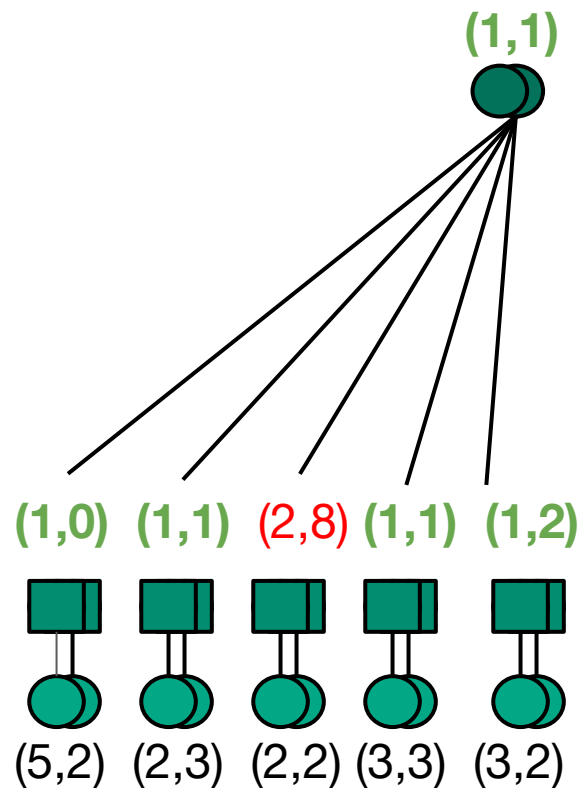


Compare the **House**  
arrow predictions.

# Network of Capsules for Computer Vision

Each Capsule represents a part or an object.

- The presence of a capsule represents whether that entity exists in the image.
- The value of a capsule carries the spatial position of how that entity exists. I.e. the **transformation** between the coordinate frame of camera and the entity.
- The trainable parameter between two capsules is the **transformation** between their coordinate frame **transformations** as a part and a whole.



# Capsule Network

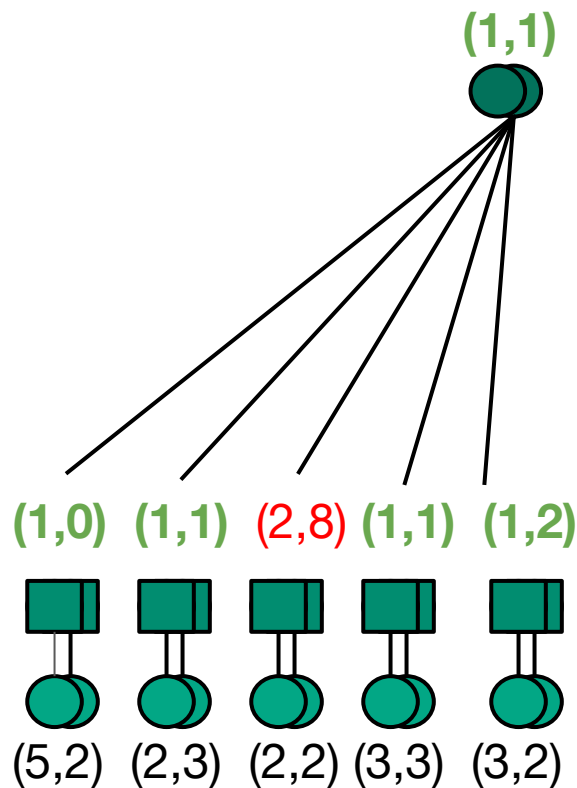
Same trained transformation works for all viewpoints of input.

- Input is transformed and so the value of the output capsule is transformed accordingly.  
Value is viewpoint equivariant.

$$T_{r'} = RT_r$$

$$T_{h'} = RT_h = RT_r W_{rh} = T_{r'} W_{rh}$$

- The agreement of parts would not change. Presence is viewpoint invariant.

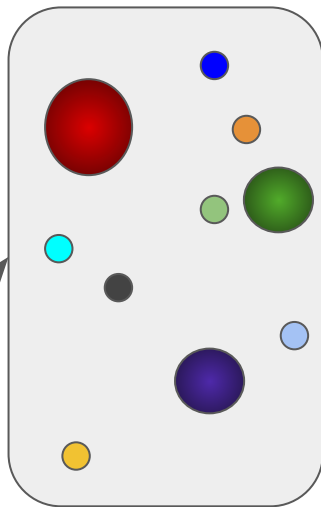


## How: Iterative routing

---

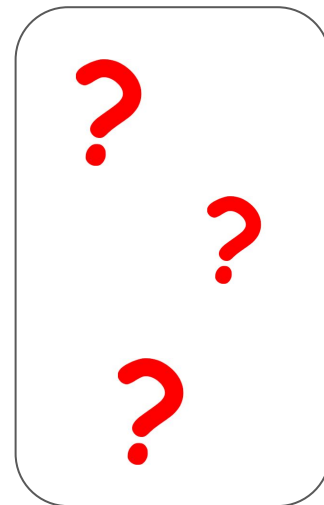
# EM routing for Gaussian Capsules

Layer L

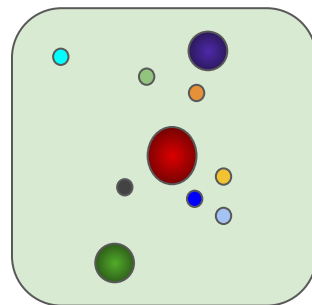
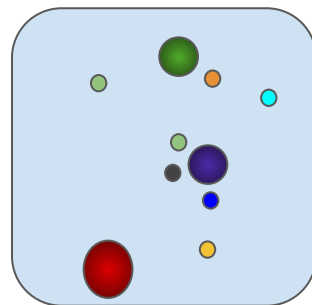
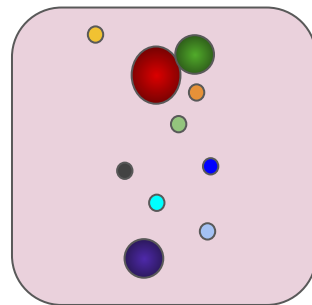
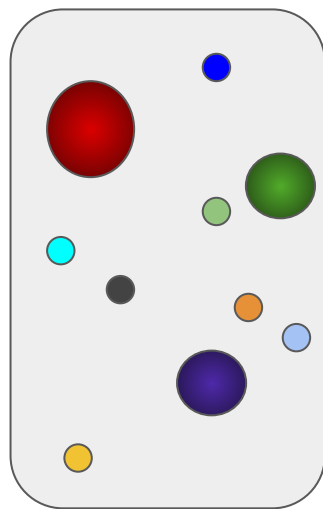


- 2D capsules
- Position shows their 2D value
- Radius shows their presence
- What is the value and presence of next layer capsules?

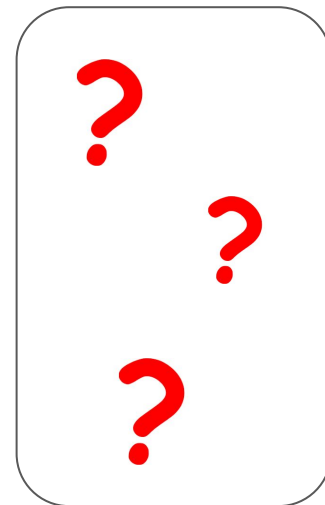
Layer L+1



# Transform

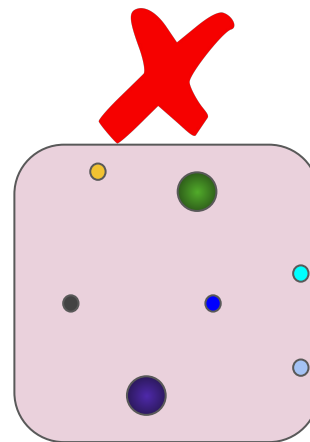
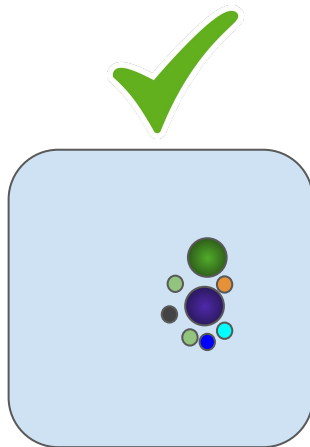


Is there any Agreement?



# Agreement (M step)

Euclidean Distance

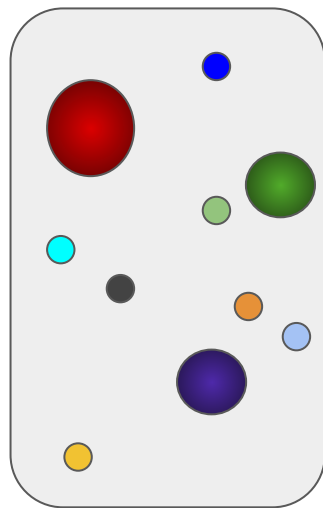


Find the clusters

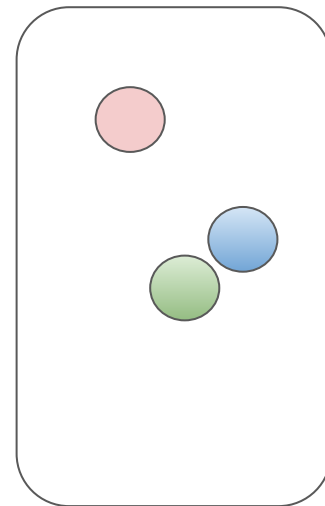
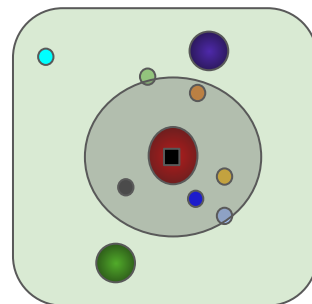
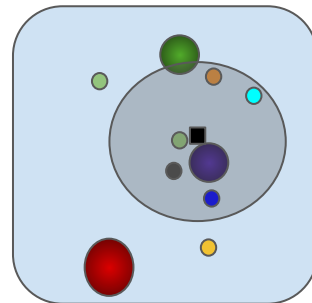
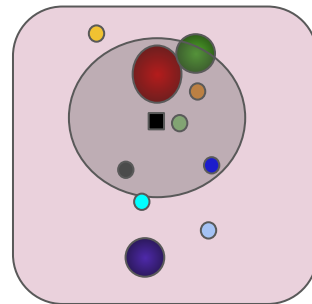
Expectation Maximization for fitting Mixture of Gaussians.

# Agreement (M step)

Euclidean Distance

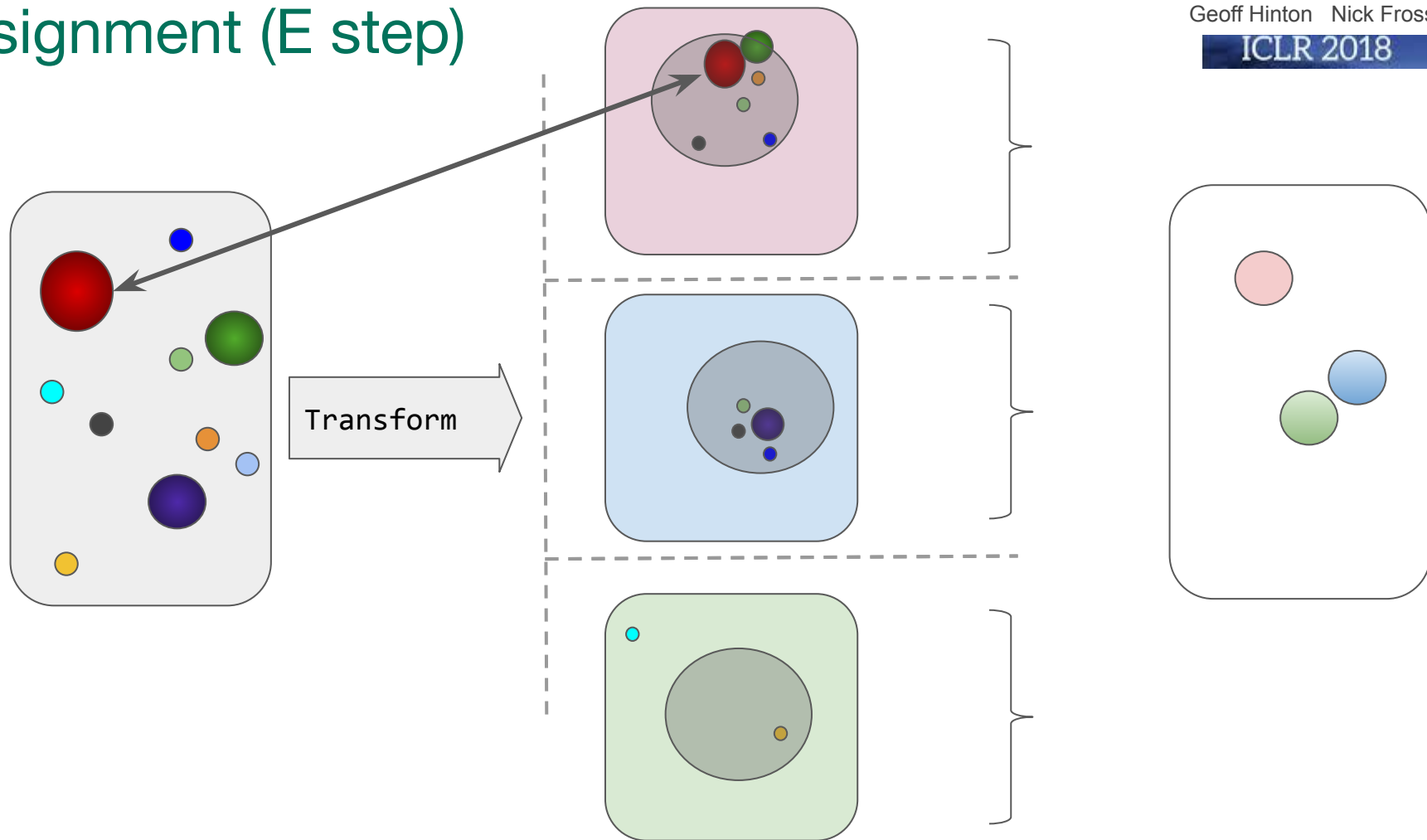


Transform

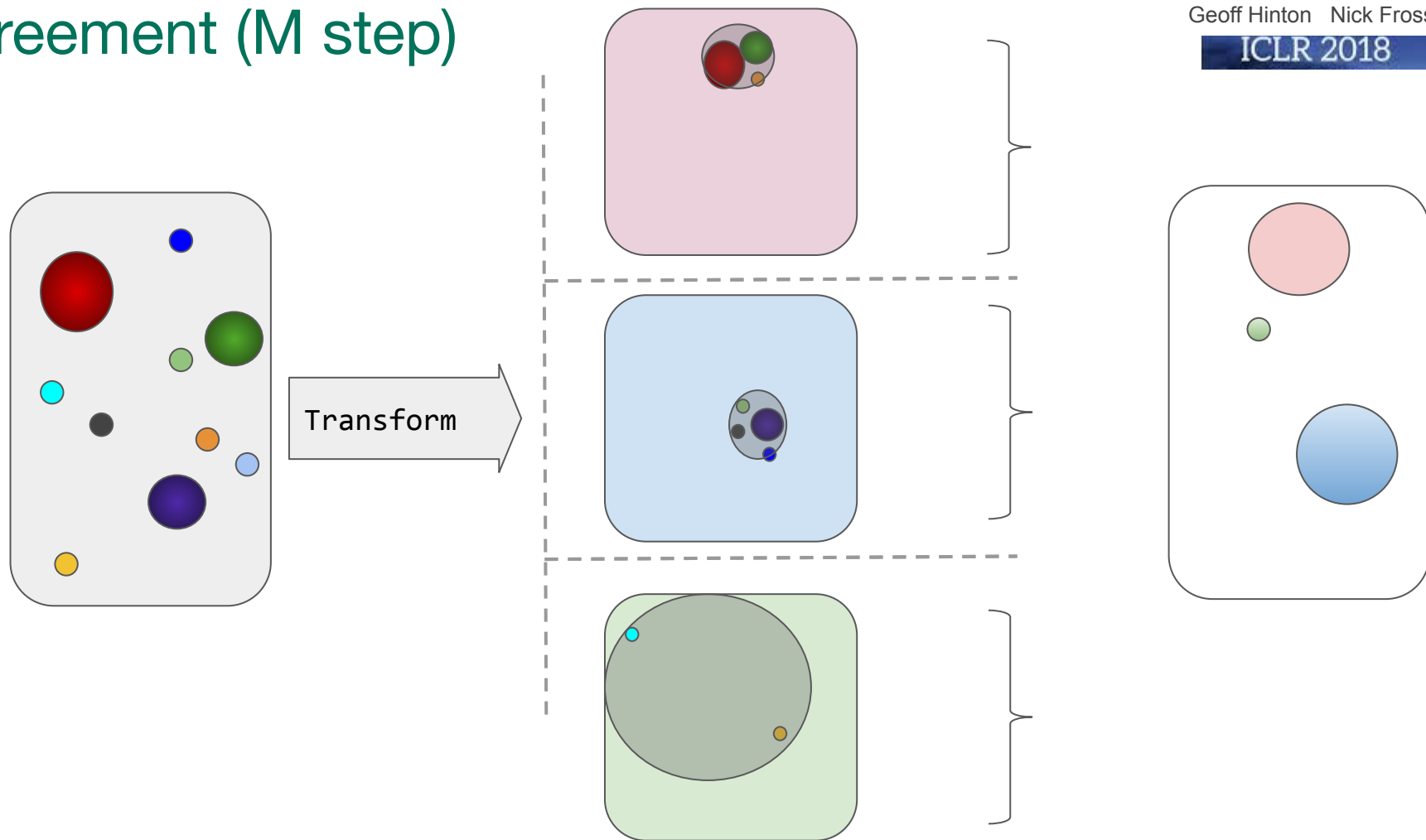




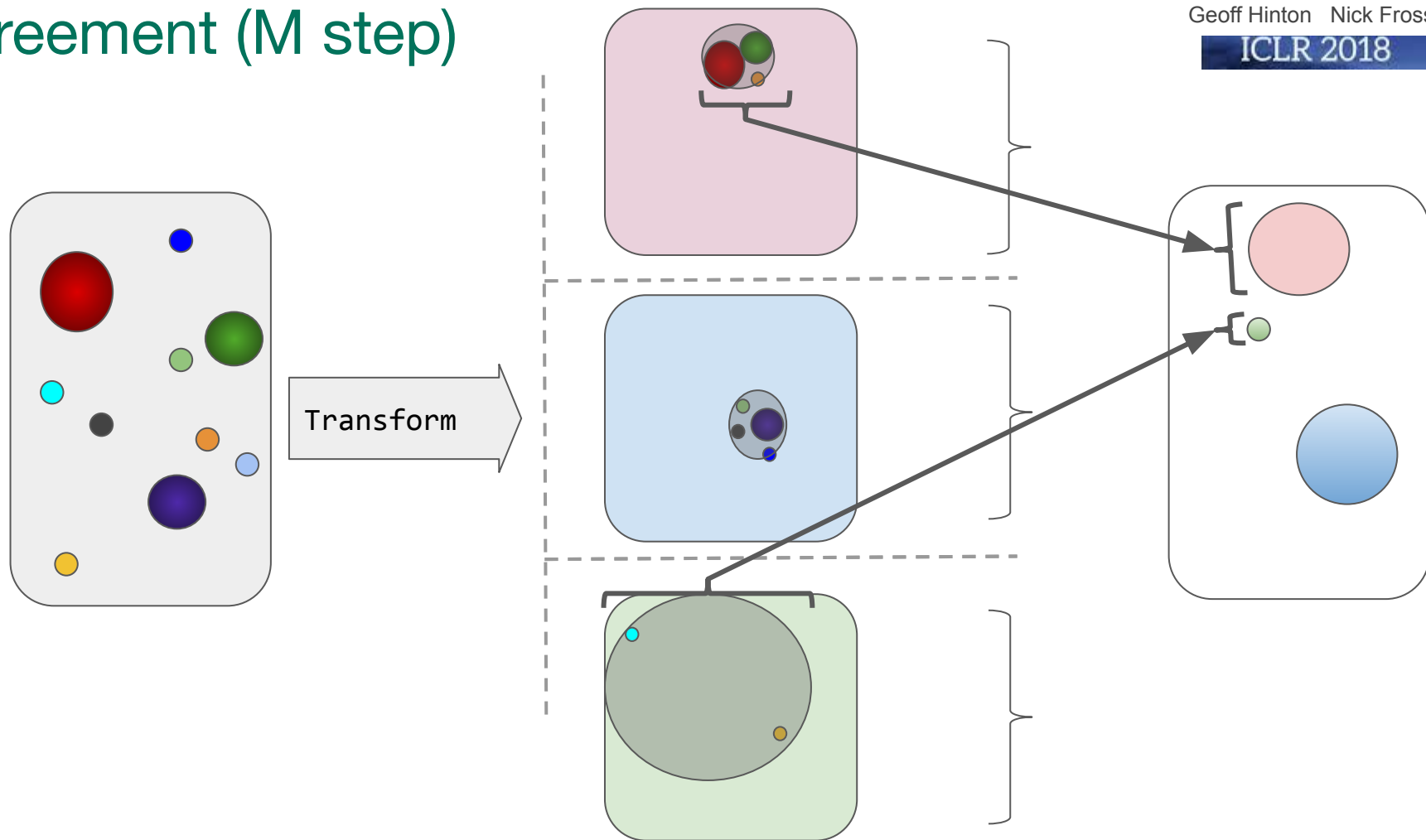
# Assignment (E step)



# Agreement (M step)

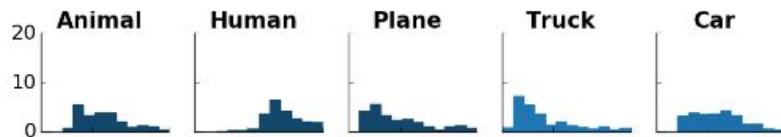


# Agreement (M step)

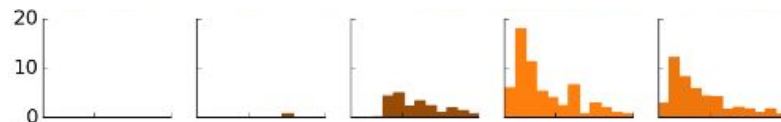


# Routing in action

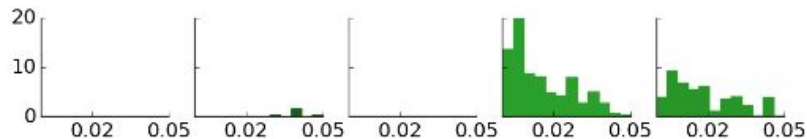
Iteration 1



Iteration 2



Iteration 3



# Viewpoint generalization

Train



Test



Test error %      CNN      vs      Capsule

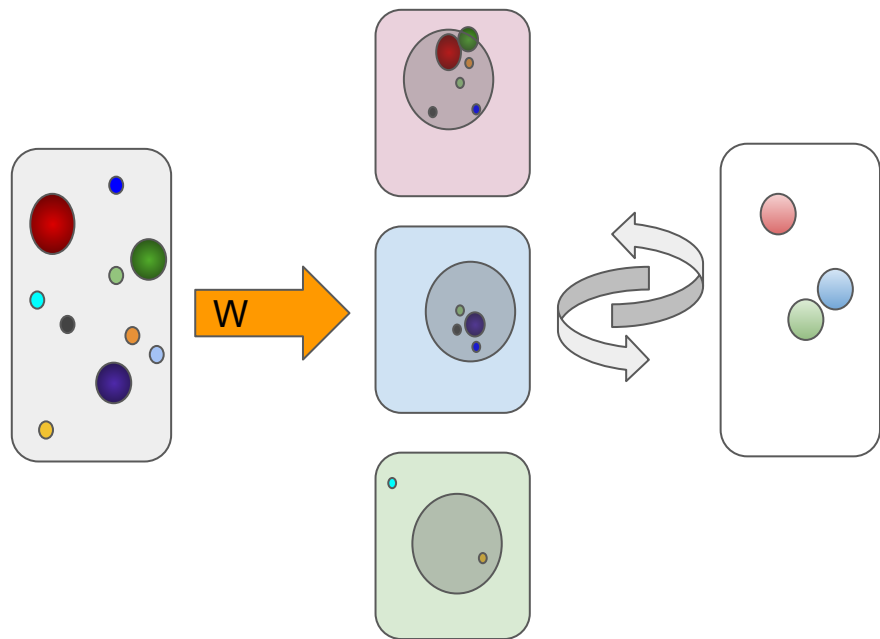
Azimuth      20%      **13.5%**

Elevation      17.8%      **12.3%**

Code available at:

[https://github.com/google-research/google-research/tree/master/capsule\\_em](https://github.com/google-research/google-research/tree/master/capsule_em)

# Agreement Finding



## Iterative Routing

- Opt-Caps & SVD-Caps [1, 2]
- G-Caps & SOVNET [3, 4]
  - Explicit group equivariance
- EncapNet [5]
  - Sinkhorn iteration

[1]: Dilin Wang and Qiang Liu. An optimization view on dynamic routing between capsules. 2018.

[2]: Mohammad Taha Bahadori. Spectral capsule networks. 2018

[3]: Jan Eric Lenssen, Matthias Fey, and Pascal Libuschewski. Group equivariant capsule networks, NIPS 2018

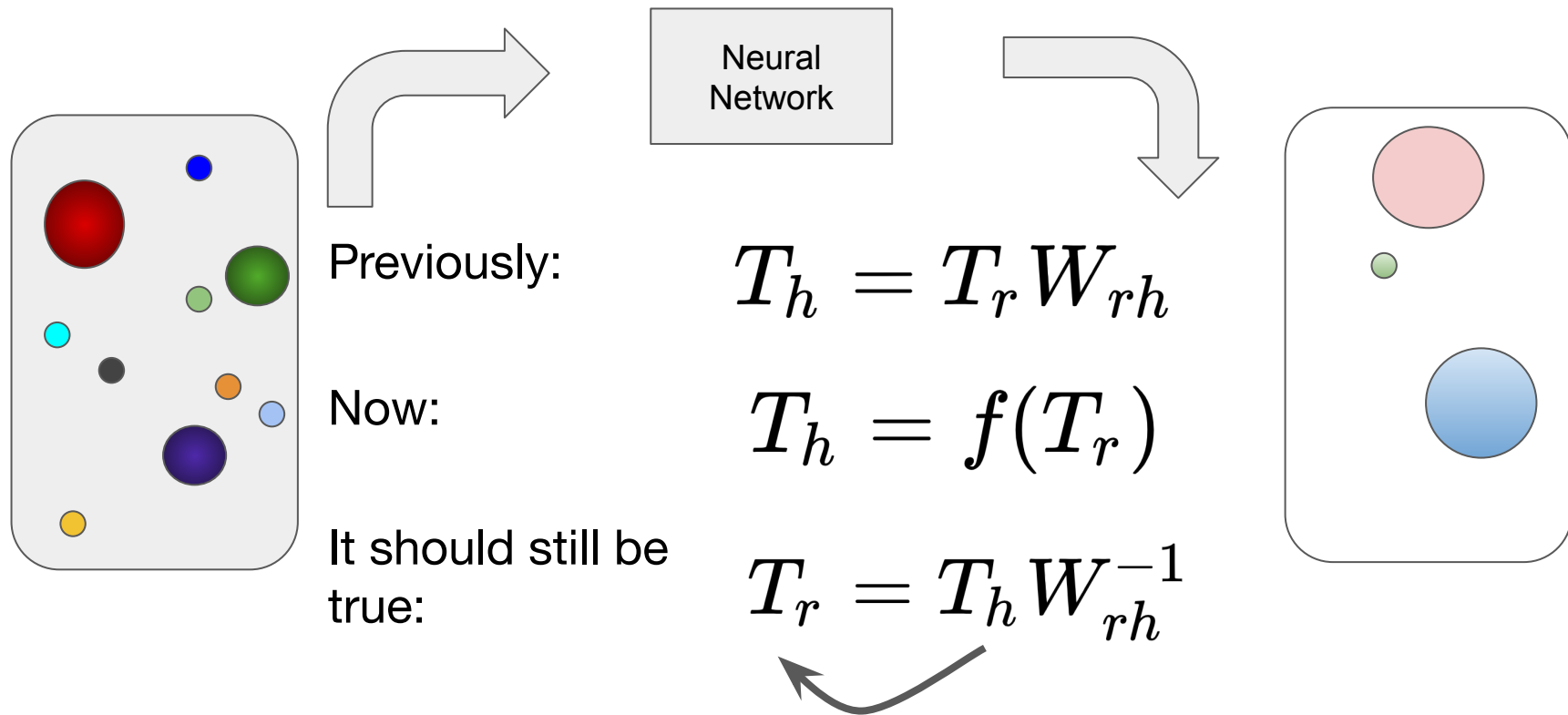
[4]: Anonymous ICLR 2020 submission.

[5]: Hongyang Li, Xiaoyang Guo, Bo Dai, Wanli Ouyang, and Xiaogang Wang. Neural network encapsulation. ECCV, 2018.

Can we learn a neural network to do the clustering rather than running explicit clustering algorithm?

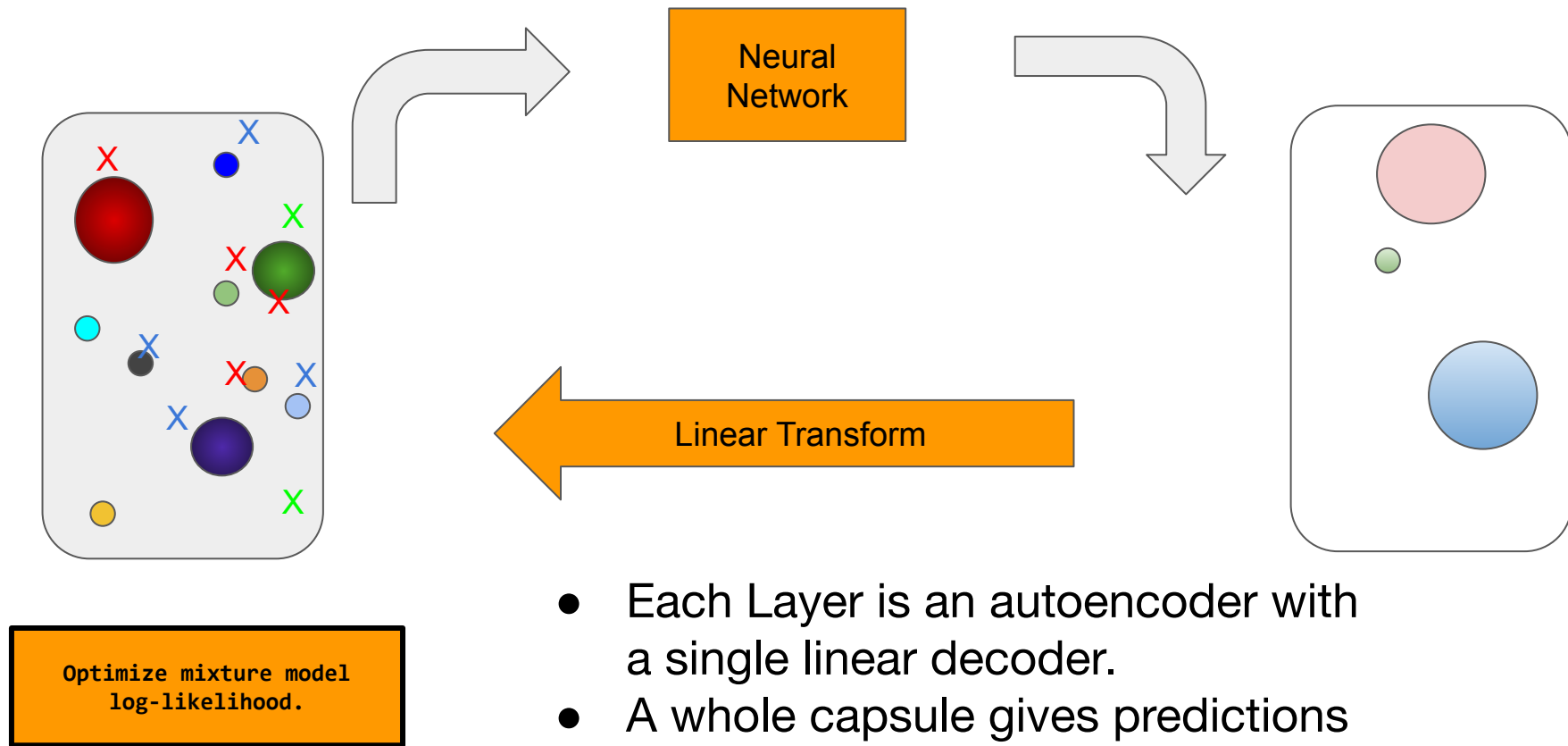
---

# Learn a cluster finder



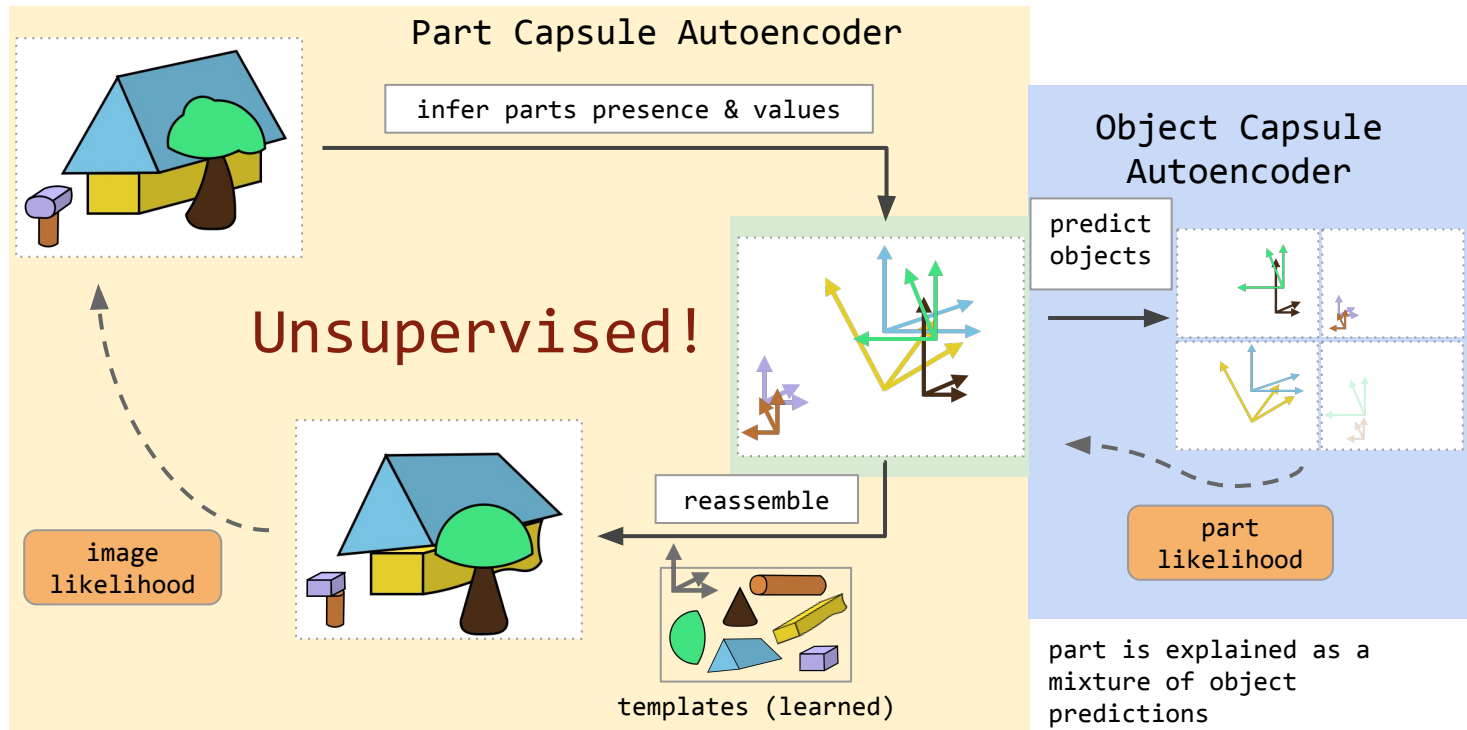


# Learn a cluster finder



- Each Layer is an autoencoder with a single linear decoder.
- A whole capsule gives predictions for its part capsules.

# Stacked Capsule Autoencoder



Adam Kosioerek et al, Neurips 2019.

# SCAE on MNIST

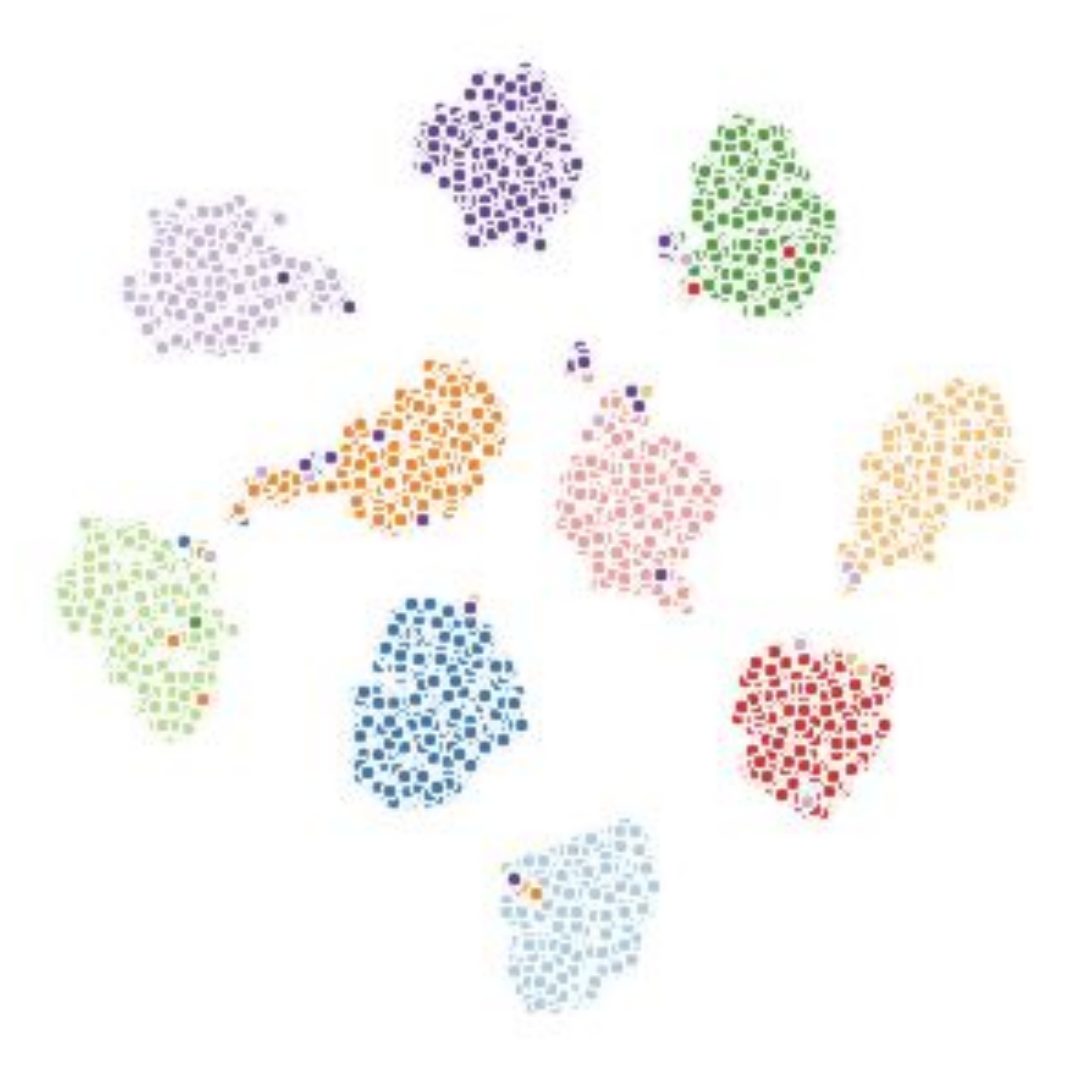
## Unsupervised

Train with 24 object capsules.

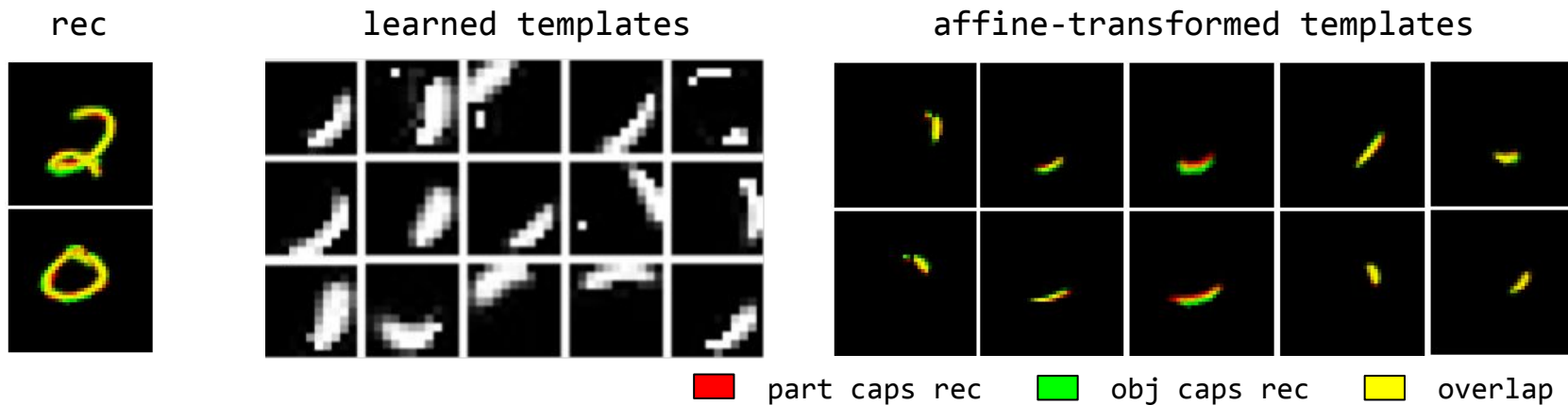
Cluster -> 98.7% Accuracy.

No Image Augmentation.

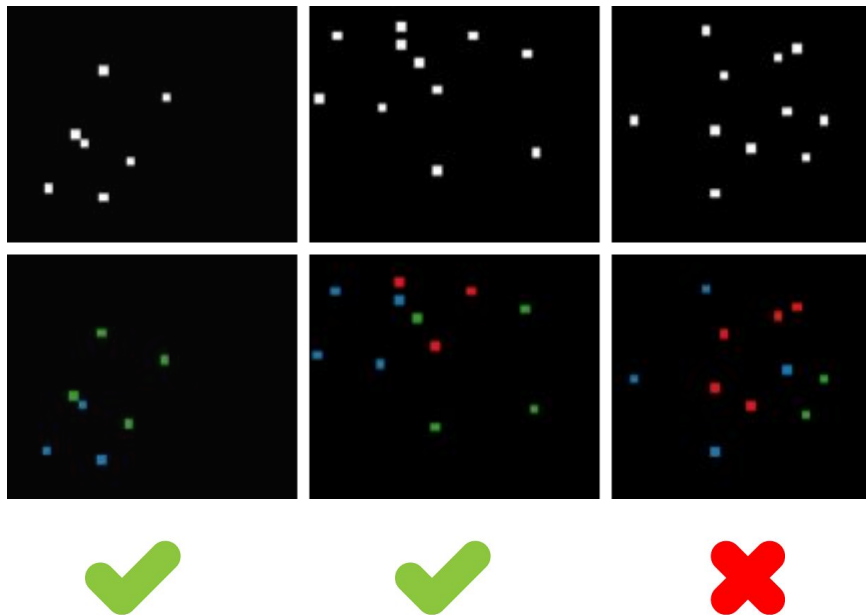
TSNE of Capsule Presences:



# MNIST: Part Capsules



# Finding Constellations



- Two squares and a triangle
- Patterns might be absent
- Visualizing the mixture model assignments.

Error:

- Best: 2.8%
- Average: 4.0%
- Baseline: 26.0%

# Discussion & Future Work

- Introduced Capsule Networks with agreement.
- Capsule Networks can model viewpoint more efficiently.
  - Better viewpoint generalization.
  - Better unsupervised training.
- Future directions
  - The background.
  - The texture.

# Questions